Ethics in Practice
4 part web series

Starts November 2019

5 of the 9 required hours of ethics based CPD for 2019

Ensure 1 Jan 2020 Code of Ethics readiness

Practice based, case study driven

Ethics in Practice delivers 5 Ethics CPD hours to help advisers meet their FASEA CPD requirements for the 2019 year and prepare for 2020.

Compliance with the Code of Ethics is a requirement for all financial advisers from 1 January 2020. This web series brings you up to speed with the Code of Ethics as it applies in practice.

If you are an adviser or business owner, it answers the important questions of:

- What do I need to know?
- What do I need to do?

The 4 part web series is supported by detailed reference notes to use and apply in your practice.

For those that can't make the live event, a recording will be available for 3 months. Watch it in 2019 to maximise 2019 CPD requirements, or hold it until 2020.

KNOWLEDGE SHOP ®

Ethics in Practice
Overview

The FASEA ethics CPD requirement is difficult to fill particularly if you want to fill those hours with content that is relevant to your practice. This practical web series is designed to bring you up to speed with the essential concepts as they apply in the real world.

- **The Code of Ethics explained**
- **How to apply the Code of Ethics standards to your advice practice**
- **Bring the Code of Ethics to life through practical case studies**
- **The key differences between Corporations Act, Tax Practitioners Board Code of Conduct and the FASEA Code of Ethics to ensure compliance**

Dates & Details

15 November | 20 November
28 November | 2 December

12:00pm - 1:15pm AEDT
ACT, NSW, TAS & VIC
11:30am SA, **11:00am** QLD
10:30am NT **9:00am** WA

Can't make the live webinar? The recording will be available to you for 3 months. Watch it in 2019 to maximise your 2019 CPD requirements or hold it until 2020.

Discounted group rates available

Contact Lee Marshall
t: 02 8916 4212
m: 0434 067 133
e: lee.marshall@knowledgeshop.com.au

Webinar 1: Code of Ethics - Ethical Behaviour Expectations of an Ethics framework

- Key dates for implementing the Code in your practice
  - Implementation - a checklist on what it takes
- Understanding the values ascribed by the 12 Standards
- Behaving ethically
- Conflicts of interest - how to identify and manage conflicts
- Tax Practitioners Code of Conduct vs FASEA Code of Ethics

Webinar 2: Code of Ethics - Client Care

- The interaction of the Code with your normal client engagement
- Demonstrating informed consent - Corporations Law vs Code of Ethics
- Best Interest Duty vs Code of Ethics - demonstrating client understanding
- Best Interest Duty vs Code of Ethics - clients' interest and likely circumstances

Webinar 3: Code of Ethics - How you earn your money

- Informed consent - Getting your fees right
- Managing 3rd party benefits
- Fees - what is fair and reasonable and appropriately justified?
- Demonstrating compliance with the BID and the Code of Ethics

Webinar 4: Code of Ethics - making the theory visible in your practice

- Professionalism - what does it mean and what is expected?
- Ethics and Professional Leadership - it's up to you
- Putting it all together - implementing the Code in your practice
- Practical examples on how you evidence the Code in practice